



ASCOT KNOWS

Financial Institutions

The regulatory landscape is rapidly evolving and creating more complexity for Financial Institutions. Holistic risk diligence and adequate insurance protection are table stakes in today's operating environment. The Ascot team has deep experience working with a wide variety of financial institutions and developing a range of risk transfer solutions for this systemically important industry.

TARGET INDUSTRIES

- Investment Advisors
- Private and Registered Funds
- Money Center and Regional Banks
- Diversified Financial Services
- Insurance Companies
- Business Development Companies
- REITs
- Family Offices
- Private Equity/General Partnership Liability

LIMITS OFFERED

\$10,000,000 Excess D&O and E&O
\$5,000,000 Primary D&O and E&O

ASCOT DIFFERENTIATOR

- Open Brokerage Distribution Model
- Highly Experienced and Skilled Underwriters
- Decisive, with Local Authority and Quick Turnaround Time
- Ability to Underwrite Complex Risks Including IPO's, SPAC IPO's, deSPAC Transactions, and Other Transactional Opportunities

CONTINUED →



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EXCLUDED CLASSES

- All Non-US Domiciled Risks
- Cannabis-Related Risks
- Cryptocurrency
- ICO's
- Blockchain

COVERAGE FEATURES

- Admitted/Non-Admitted Capabilities
- Geographic Scope: All 50 States and Washington D.C.
- Drop-Down Sub-limits Available

CONTACTS

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STRENGTH IN SECURITY RATING

Financial Strength Rating of A (Excellent) | Long-Term Credit Rating of 'A' | Financial Size Category of Class XIV (\$1.5 Billion to \$2 Billion) | Positive outlook | Ratings apply to all Ascot Group subsidiaries (Ascot Bermuda, Ascot Insurance Company, Ascot Specialty Insurance Company, Ascot Syndicate 1414)

ABOUT ASCOT

Global pre-eminent specialty risk assumption organization. Built as a modern era company operating through an ecosystem of interconnected global operating platforms bound by a common mission and purpose: One Ascot.





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