



Financial Institutions

The regulatory landscape is rapidly evolving and creating more complexity for Financial Institutions. Holistic risk diligence and adequate insurance protection are table stakes in today's operating environment. The Ascot team has deep experience working with a wide variety of financial institutions and developing a range of risk transfer solutions for this systemically important industry.

TARGET INDUSTRIES

- Investment Advisors
- Private and Registered Funds
- Money Center and Regional Banks
- Diversified Financial Services
- Insurance Companies
- Business Development Companies
- REITs
- Family Offices
- Private Equity/General Partnership Liability

STRENGTH IN SECURITY RATING

- Financial strength rating of A (Excellent), Long-Term Credit Rating of 'A' Financial Size Category of Class XIV (\$1.5 Billion to \$2 Billion), Positive outlook rating apply to all Ascot Group subsidiaries (Ascot Bermuda, Ascot Insurance Company, Ascot Specialty Insurance Company, Ascot Syndicate 1414)

KEY CONTACT

Peter Trochev

Head of Financial Institutions

peter.trochev@ascotgroup.com

COVERAGE FEATURES

- Admitted/ Non-Admitted Capabilities
- Geographic Scope: All 50 States and Washington D.C.
- Drop-Down Sub-limits Available

LIMITS OFFERED

- \$10 million Excess D&O and E&O
- \$5 million Primary D&O and E&O

ASCOT DIFFERENTIATOR

- Open Brokerage Distribution Model
- Highly Experienced and Skilled Underwriters
- Decisive, with Local Authority and Quick Turnaround Time
- Ability to Underwrite Complex Risks Including IPO's, SPAC IPO's, deSPAC Transactions, and Other Transactional Opportunities



ascotgroup.com

The information contained herein is intended for informational purposes only. Statements of coverage availability and scope are general in nature, subject to change and underwriting of any individual risk, and provide no guaranty or warranty of coverage, express or implied. Products and services are offered through insurance company affiliates within the Ascot Group. Not all products and services are available in every jurisdiction, and some may be available in the United States only on a surplus line basis through licensed surplus line brokers. The precise coverage afforded by any insurer is subject to the actual terms and conditions of the policies as issued. The publication and delivery of the information contained herein is not intended as a solicitation for the purchase of insurance on any U.S. risk.