

A perfect partner for a
less-than-perfect world.™

Financial Institutions

The regulatory landscape is rapidly evolving and creating more complexity for Financial Institutions. Holistic risk diligence and adequate insurance protection are table stakes in today's operating environment. The Ascot team has deep experience working with a wide variety of financial institutions and developing a range of risk transfer solutions for this systemically important industry.

TARGET INDUSTRIES

- Investment Advisors
- Private and Registered Funds
- Money Center and Regional Banks
- Diversified Financial Services
- Insurance Companies
- Business Development Companies
- REITs
- Family Offices
- Private Equity/General Partnership Liability

COVERAGE FEATURES

- Admitted/ Non-Admitted Capabilities
- Geographic Scope: All 50 States and Washington D.C.
- Drop-Down Sub-limits Available

LIMITS OFFERED

- \$10 million Excess D&O and E&O
- \$5 million Primary D&O and E&O

STRENGTH IN SECURITY RATING

- Financial strength rating of A (Excellent), Long-Term Credit Rating of 'A' Financial Size Category of Class XIV (\$1.5 Billion to \$2 Billion), Positive outlook rating apply to all Ascot Group subsidiaries (Ascot Bermuda, Ascot Insurance Company, Ascot Specialty Insurance Company, Ascot Syndicate 1414)

ASCOT DIFFERENTIATOR

- Open Brokerage Distribution Model
- Highly Experienced and Skilled Underwriters
- Decisive, with Local Authority and Quick Turnaround Time
- Ability to Underwrite Complex Risks Including IPO's, SPAC IPO's, deSPAC Transactions, and Other Transactional Opportunities

KEY CONTACT

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